



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Group Art Unit: Not Yet Known

Patent Application of

Stephen M. Floyd, et al.

Application No. 10/712,459

Confirmation No.: Not Yet Known

Filed: November 13, 2003

Examiner: Not Yet Known

“INTEGRAL HEAT RECOVERY DEVICE”

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A and/or PTO/SB/08B.

Citation of these references is respectfully requested.

No concession is made that these documents are prior art, and Applicant expressly reserves the right to antedate the documents as may be appropriate.

Respectfully submitted,

Thomas J. Otterlee
Reg. No. 48,652

File No. 031383-9088 US001

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I, Jodi Anderson, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date of my signature.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/712,459
				Filing Date	November 13, 2003
				First Name Inventor	Stephen M. Floyd
				Group Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	1	of	2	Attorney Docket Number	031383-9088 US001

U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		2,147,283	Covell	02/1939
		2,914,917	Van Nest	12/1959
		2,994,509	Walker	08/1961
		3,584,459	Amann	06/1971
		4,761,957	Eberhardt et al.	8/9/1988
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		6,484,799	Irish	11/2002
		6,499,301	Choroszylow et al.	12/31/2002

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute of form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/712,459
				Filing Date	November 13, 2003
				First Name Inventor	Stephen M. Floyd
				Group Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	2	of	2	Attorney Docket Number	031383-9088 US001

U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document

FOREIGN PATENT DOCUMENTS							
Examiner Initials		Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
		DE	27 33 931	Kraftwerk Union AG	2/2/1979		
		DE	195 41 889 A1	Asea Brown Boveri	2/5/1997		X
		DE	199 11 645 A1	Volkswagenwerk AG	2/9/2000		X
		FR	2 616 212 A1	Valeo	2/12/1988		X
		WO	WO 01/63175 A2	Honeywell International Inc.	2/8/2001		

Examiner Signature		Date Considered	
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